

ATTY. DOCKET NO.

RD-26,1

SERIAL NO.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT--

LIST OF ITEMS

(Use several sheets if necessary)

Applicant

Gerald Burt Kliman et al.

Filing Date

Group

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA					
	BB					
	BC					
	BD					
	BE					
	BF					
	BG					
	BH					
	BI					
	BJ					
	BK					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	No
	BL						
	BM						
	BN						
	BO						
	BP						

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

<i>GRN</i>	BR	Ralph R. Lawrence, "Principles of Alternating-Current Machinery", 1953, Page 161
<i>JNT</i>	BS	Michael-Liwschitz-Garik and Clyde C. Whipple, "Alternating Current Machines", April 1961 (1st Edition September 1946), Pages 119, 261
<i>GRN</i>	BT	Brown Boveri Review, "The Rotary Transformer", September 1967, Pages 554-564
EXAMINER	N. Nguyen, Tran N	
	DATE CONSIDERED	8/01/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Appl. No. 09/870,567
IDS Dated 12 July 2004

(copies were provided when list was submitted)

Form PTO-1449 (modified)				ATTY. DOCKET NO. RD26121-5		SERIAL NO. 09/870,567	
List of Patents and Publications For Applicant's Information Disclosure Statement				APPLICANT K.R. Weeber et al.			
				FILING DATE 11 June 2001		GROUP 2834	
U.S. PATENT DOCUMENTS							
EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
<i>[Signature]</i>	B1	788049	Apr., 1905	Johnson			
	B2	3060397	Oct., 1962	Mims et al.			
	B3	3903442	Sept. 1975	Krulls			
	B4	6281610	Aug. 2001	Kliman et al.			
	B5	3375479	Nov. 1995	Lowe			
FOREIGN PATENT DOCUMENTS							
EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION
<i>[Signature]</i>	B6	937244	Dec. 1955	Germany			Yes
	B7	1247461	Aug. 1967	Germany			Yes
OTHER ART (Author, Title, Journal, Volume, Pertinent Pages, & Date)							
EXAMINER <i>Nguyen, Tam A</i>				DATE CONSIDERED <i>8/01/04</i>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Information Disclosure Statement--PTO-1449 (Modified)

Appl. No. 09/870,567
IDS Dated 12 July 2004

(cited in office action but not on form 892)

Form PTO-1449 (modified)				ATTY. DOCKET NO. RD26121-5		SERIAL NO. 09/870,567	
List of Patents and Publications For Applicant's Information Disclosure Statement				APPLICANT K.R. Weeber et al.			
				FILING DATE 11 June 2001		GROUP 2834	
U.S. PATENT DOCUMENTS							
EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
<i>9/01</i>	C1	6356002	Mar. 2002	Witherspoon et al.	310	232	
FOREIGN PATENT DOCUMENTS							
EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION
OTHER ART (Author, Title, Journal, Volume, Pertinent Pages, & Date)							
EXAMINER <i>Nguyen Tan A</i>				DATE CONSIDERED <i>8/01/04</i>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Information Disclosure Statement--PTO-1449 (Modified)

Appl. No. 09/870,567
IDS Dated 12 July 2004

(there were so many lists that Applicant thought it might make sense to have all in one document)

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List of Patents and Publications For Applicant's Information Disclosure Statement		APPLICANT K.R. Weeber et al.					
		FILING DATE 11 June 2001		GROUP 2834			
U.S. PATENT DOCUMENTS							
EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
<i>[Handwritten initials]</i>	D1	5869942	2/1999	Miller et al.	318	483	
	D2	4895536	1/1990	Gingerich et al.	439	885	
	D3	4644206	2/1987	Smith	310	115	
	D4	5814915	9/1998	Wang	310	242	
FOREIGN PATENT DOCUMENTS							
EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION
OTHER ART (Author, Title, Journal, Volume, Pertinent Pages, & Date)							
EXAMINER <i>Nguyen, Tam</i>		DATE CONSIDERED <i>8/01/04</i>					
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Information Disclosure Statement--PTO-1449 (Modified)

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
RD-26,121/USA-5SERIAL NO.
09/870,567INFORMATION DISCLOSURE STATEMENT BY APPLICANT
LIST OF ITEMSApplicant
KONRAD R. WEEBER, ET ALFiling Date
6/1/01Group
2834

U.S. PATENT AND PUBLICATION DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JAN	A1 788049	4/1905	JOHNSON			
	A2 3060397	10/62	MIMS, ET AL			
	A3 3375479	11/95	LOWE			
	A4 3903442	9/75	KRULLS			
	A5 4644206	2/87	SMITH			
	A6 4895536	1/90	GINGERICH, ET AL			
	A7 5285125	2/94	LANG			
	A8 5490198	2/6/96	GERLING			
	A9 5549019	8/96	CATTANI			
	A10 5742515	4/21/98	RUNKLE, ET AL			
	A11 5814915	9/98	WANG			
	A12 5869942	2/99	MILLER, ET AL			
	A13 5976047	11/99	WOYTASZEK			
	A14 6012563	1/00	AOKI, ET AL			
	A15 6281610	8/01	KLIMAN, ET AL			
	A16 6356002	3/02	WITHERSPOON, ET AL			

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
B1 1157885	7/69	GREAT BRITAIN			X
B2 937244	12/55	GERMANY			X
B3 1247461	8/67	GERMANY			X

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.)

C1	WESTINGHOUSE ELECTRIC & MANUFACTURING CO., CIRCULAR NO. 1028, APRIL 2003, 16 PAGES.
C2	A.M. DUDLEY, "CONNECTING INDUCTION MOTORS" The Practical Application of a Designing Engineer's Experience to the Problems of Operating Engineers, Armature Winders and Repair Men. Also the presentation to Students at Practical Questions Arising in Winding and Connecting Alternating Current Motors, 1921, PAGE 204.
C3	M. E. HAYES, "CURRENT-COLLECTING BRUSHES IN ELECTRICAL MACHINES", 1947, PP. 124-127.
C4	H. E. RICHARDS, "PRINCIPLES OF ALTERNATING-CURRENT MACHINERY, 1953, PP. 161.
C5	M. LIWSCHITZ-GARIK, ET AL, "ALTERNATING-CURRENT MACHINES", SECOND EDITION, APRIL 1961, PP 119 & 261.
C6	BROWN BOVERI REVIEW, ELECTRICAL MACHINES, "THE ROTARY TRANSFORMER", VOL. 54, NO. 9, SEPT. 1967, PP. 554-564.

EXAMINER

Nguyen Tran

DATE CONSIDERED

8/01/04

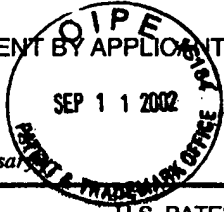
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
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ORIGINALLY FILED

#6

Sheet 1 of 1

FORM PTO-1449 (REV. 7-80) (Title Amended 3/83)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. RD-26,121/USA	SERIAL NO. 09/870,567
INFORMATION DISCLOSURE STATEMENT BY APPLICANT-- <u>LIST OF ITEMS</u> (Use several sheets if necessary)		Applicant GERALD B. KLIMAN, ET AL	
		Filing Date 06/01/01	Group 2834



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>SW</i>	AA 7 8 8 0 4 9	04/24/05	JOHNSON			
	AB 3 0 6 0 3 9 7	10/23/62	MIMS, ET AL			
	AC 3 9 0 3 4 4 2	09/02/75	KRULLS			
	AD 6 2 8 1 6 1 0	08/28/01	KLIMAN, ET AL			
<i>SW</i>	AE 3 3 7 5 4 7 9	11/2/95	LOWE, E.G.			
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<i>SW</i>	AL 9 3 7 2 4 4	12/29/55	GERMANY			X	
<i>SW</i>	AM 1 2 4 7 4 6 1	08/17/67	GERMANY			X	
	AN						
	AO						
	AP						

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc)

AR	
AS	
AT	

EXAMINER <i>Nguyen, Tan</i>	DATE CONSIDERED <i>8/01/04</i>
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT--
LIST OF ITEMS

(Use several sheets if necessary)

Applicant

Gerald F. [redacted] et al.

#2

Filing Date

Group

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09/342571
06/29/99

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
TAN	AA 5 4 9 0 1 9 8	02/06/96	Gerling	378	131	04/17/95
TAN	AB 5 7 4 2 5 1 5	04/21/98	Runkle et al.	364	494	04/21/95
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES No
TAN	AL 1 1 5 7 8 8 5	07/09/69	England		X	
	AM					
	AN					
	AO					
	AP					

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

TAN	AR	Westinghouse Electric & Manufacturing Co., "Circular No. 1028", April 1903
	AS	A. M. Dudley, "Connecting Induction Motors", 1921, Page 204, 01/1921
TAN	AT	M. E. Hayes, "Current Collecting Brushes In Electrical Machines", 1947, Pages 124-127, 01/1947

EXAMINER

NGUYEN, TRAN N

DATE CONSIDERED

11/3/00

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